



# **PCT**

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

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AIL	INTERNATIO	NAL PRELIMINAI	Y EXAMIN	ATION REPORT
		(PCT Article 36	and Rule 70)	
Applicant's or agent's B14168/		FOR FURTHER ACTIO	N See Notif	ication of Transmittal of Internation Examination Report (Form PCT/IPEA/4
International application No. PCT/FR2003/050187		International filing date (date 17 décembre 2003 (	Priority date (day/month/year) 19 décembre 2002 (19.12.200	
		ional classification and IP		
Applicant	COMIN	IISSARIAT A L'ENE	RGIE ATON	MQUE
This internation     and is transmi	onal preliminary examin	ation report has been prep ording to Article 36.	red by this Inter	rnational Preliminary Examining Authorit
2. This REPORT	consists of a total of _	sheets, incl	ıding this cover	sheet.
amendo	ed and are the basis for t	d by ANNEXES, i.e., shee this report and/or sheets co dministrative Instructions	ntaining rectific	tion, claims and/or drawings which have beations made before this Authority (see I
These a	annexes consist of a total	l of 1 shee	s. 	
3. This report co	ntains indications relati	ng to the following items:		
1 🔀	Basis of the report			
п	Priority			
ш	Non-establishment of	opinion with regard to no	elty, inventive	step and industrial applicability
ıv 🗌	Lack of unity of inver			
v 🖂	Reasoned statement u citations and explana	inder Article 35(2) with re tions supporting such state	gard to novelty, inent	inventive step or industrial applicability;
vi 🗌	Certain documents ci	ted		
VII 🗌	Certain defects in the	international application		
VIII □	Certain observations	on the international applic	ation	
Deter of submission o	Cat a damand	10		- Afthis sanget
Date of submission o			te of completion	
24	juin 2004 (24.06.20	004)	30	March 2005 (30.03.2005)
Name and mailing ad	dress of the IPEA/EP	A	thorized officer	
Facsimile No.		Te	lephone No.	



International application No.

PCT/FR2003/050187

Ŀ	Basis	of the re	report	
1.	With	regard to	to the elements of the international application:*	
	$\boxtimes$	the inte	ternational application as originally filed	
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		pages	1-24 , as or	riginally filed
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		he seque	ence listing part of the description:	
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2.	ine ir	the lang	to the language, all the elements marked above were available or furnished to this Authority in the language application was filed, unless otherwise indicated under this item. Into the following language are available or furnished to this Authority in the following language are language of a translation furnished for the purposes of international search (under Rule 23.1(b)).  Inguage of publication of the international application (under Rule 48.3(b)).  Inguage of the translation furnished for the purposes of international preliminary examination (under Rule 3).	which is:
3.	With	regard	I to any nucleotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:	international
	Щ	contain	ined in the international application in written form.	
	Ш	filed to	ogether with the international application in computer readable form.	
		furnish	hed subsequently to this Authority in written form.	
		furnish	hed subsequently to this Authority in computer readable form.	
		The st	statement that the subsequently furnished written sequence listing does not go beyond the disclarational application as filed has been furnished.	osure in the
		The sta	tatement that the information recorded in computer readable form is identical to the written sequence furnished.	e listing has
4.		The am	mendments have resulted in the cancellation of:	
			the description, pages	
			the claims, Nos.	
			the drawings, sheets/fig	
5.		This rep	eport has been established as if (some of) the amendments had not been made, since they have been con it the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**	sidered to go
	in ini and 7	icement s is report 0.17).	sheets which have been furnished to the receiving Office in response to an invitation under Article 14 art as "originally filed" and are not annexed to this report since they do not contain amendments ment sheet containing such amendments must be referred to under item 1 and annexed to this report.	e referred to (Rule 70.16

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Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Statement			
Novelty (N)	Claims	1-16	YES
•••	Claims		NO
Inventive step (IS)	Claims		YES
	Claims	1-16	NO
Industrial applicability (IA)	Claims	1-16	YES
	Claims		NO

#### 2. Citations and explanations

- Reference is made to the following documents:
  - D1: US-A-5 574 576 (MARTIN DANNY W) 12 November 1996 (1996-11-12)
  - D2: US 2002/106614 A1 (PRINCE TROY S ET AL) 8 August 2002 (2002-08-08)
  - D3: DE 32 02 218 A (HORIBA LTD) 5 August 1982 (1982-08-05)
  - D4: PATENT ABSTRACTS OF JAPAN vol. 0154, no. 62 (P-1279), 22 November 1991 (1991-11-22) & JP 3
    197993 A (CANON INC), 29 August 1991 (1991-08-29)
- 2. Independent claim 1:

Document D1 describes (the reference signs between parentheses apply to this document) a device comprising a touch-sensitive interface consisting of a plate 70 with a controllably modifiable surface, wherein the plate comprises a set of modifying elements 71 for modifying the surface 70 (column 7, lines 9-11 and figure 5), and the device also comprises control means for controlling the surface-modifying elements 71 (laser diodes 49).

According to D1, the set of surface-modifying

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elements 71 of the plate 70 consists of a set of a plurality of blades 73, 75 (see figures 5 and 6) integrally secured to the plate via an arm integrally secured to the plate (column 7, lines 11-13 and figures 5 and 6). Blade release recesses are provided in one edge portion of the blade 75 (column 7, lines 11-13) and the blade has a first position at a first temperature and a second position at a second temperature (column 7, lines 23-28).

It follows that the subject matter of claim 1 differs from the device described in D1 by virtue of the feature whereby

- the plate is made of a shape-memory material or comprises at least one sub-plate made of a shapememory material.

It is clear from D1 that the surface-modifying elements 71 are made of (or include) a material which changes shape when the temperature thereof is modified (see column 7, lines 10-14 and lines 22-27). D1 describes the use of a bimetallic material is being merely one option or one example of a material having this property ("such as a bimetallic membrane").

The <u>objective technical problem</u> to be solved by a person skilled in the art starting with D1 can thus be considered that of finding a material with a temperature-sensitive shape other than the one mentioned as an <u>example</u> in said document, i.e. a material having said property other than a bimetallic material.

However, a person skilled in the art would be well aware that shape-memory materials have the feature

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set forth in document D1.

Therefore, the use of a shape-memory material instead of a bimetallic material in the touch-sensitive interface as per D1 is merely one of a plurality of alternatives that a person skilled in the art might select, depending on each particular case, and without an inventive step being involved.

The use of shape-memory materials to actuate surface-modifying elements is also known in the field of touch-sensitive interfaces. See, for example, document D2 (figures 10A and 10B).

Furthermore, D2 explicitly mentions the general advantages resulting from the use of thin films made of shape-memory material to actuate surface-modifying elements (paragraph 51, "A thin film SMA... ... ... portable electronic devices").

For these reasons, the solution proposed in claim 1 of the present application is not considered to be inventive (PCT Article 33(3)).

#### 3. Dependent claims

The additional features in claims 2 and 3 are also disclosed in D1 (see the passages cited above; it should be noted that every bimetallic or shape-memory material is a two-way material). For these reasons, the subject matter of claims 2 and 3 of the present application is not considered to be inventive (PCT Article 33(3)).

A bimetallic material necessarily consists of two sub-plates joined together. Therefore, the additional feature in claim 4 is also known from D1.

Moreover, D2 describes surface-modifying elements consisting of two sub-plates joined together via a common main surface (see figures 10A and 10B). For each of these two reasons, the subject matter of claim 4 of the present application is not considered to be inventive (PCT Article 33(3)).

The additional feature in claim 5 is used with the same effect in D2 (see figures 10A and 10B, paragraph 53). For this reason, the subject matter of claim 5 of the present application is not considered to be inventive (PCT Article 33(3)).

D2 describes the use of a second thin film of shapememory material (paragraph 52, page 7, penultimate sentence) to exert a return force. For this reason, the subject matter of claim 6 of the present application is not considered to be inventive (PCT Article 33(3)).

The additional feature in claim 7 merely appears to be one of a plurality of obvious alternatives that a person skilled in the art might select, depending on each particular case, when seeking to solve the problem of generating a lever effect for a surface-modifying element, without an inventive step being involved.

The additional feature in claim 8 is required if the use of two plates made of shape-memory material and independently joined together via a common main surface is desired. For this reason, the subject matter of claim 8 of the present application is not considered to be inventive (PCT Article 33(3)).

The features in claims 9, 10 and 12 are also known from D1 (see the passages cited above). For these reasons, the subject matter of these claims is not considered to be inventive (PCT Article 33(3)).

The additional features in claims 11 and 13 to 16 are either disclosed in the documents cited in the search report (D3: figure 1, page 5, lines 14-24 and page 7, line 27; D4: abstract and figure 3) and used for the same purpose as in the present application, or generally known to persons skilled in the art (the use of optical fibres for guiding a laser beam), meaning that they do not involve an inventive step.

#### Additional observations

Contrary to the requirement of PCT Rule 5.1(a)(ii), the relevant prior art disclosed in documents D1, D2, D3 and D4 has not been indicated in the description, nor have these documents been cited.

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#### CLAIMS

- 1. A device comprising a tactile interface formed by a plate (10) having a surface (10a) capable of being modified in a controlled manner, the plate comprising an array of elements (25) for modification of surface (10a), each made up by an array of one or more blade(s) (23) solid monolithically with the plate (10) by one or more arms (13) solid monolithically with the plate (10), one or more recesses (14) of release of blades being present on a part of a perimeter of the blade (23), the blade (23) having a first position at a first temperature and a second position at a second temperature, the device also comprising control means of the modification elements of the surface (10a), characterised in that the plate (10) is made of a shape memory material A or comprises at least one sub-plate made of shape memory material.
- 2. The device comprising a tactile interface formed by a plate (10) made of a shape memory material as claimed in Claim 1, characterised in that the shape memory material making up the plate (10) is a two-way material having a first hot form and a second cold form.
- 25 3. A device comprising a tactile interface formed by a plate (10) made of a shape memory material a